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PTO/SB/21 (02-04)

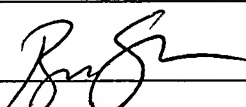
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/813,424
	Filing Date	March 29, 2004
	First Named Inventor	Hoffend, Jr., Donald A.
	Art Unit	3654
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	85809.000032

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavit/declaration(s) <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communications to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): IDS Coversheet; Acknowledgement Postcard, Certificate of Mailing, Information Disclosure Statement
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brian B. Shaw, HARTER, SECREST & EMERY LLP
Signature	
Date	June 25, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Type or printed name			
Signature		Date	June 25, 2004

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Date

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Nicholas A. Bero

Typed or printed name of person signing Certificate

### Enclosed are:

Information Disclosure Statement (2 pages)  
Information Disclosure Statement Coversheet (2 pages) –Form PTO-SB08A  
Transmittal Letter – Form PTO-1390  
Return Postcard (1 card)  
Certificate of Mailing

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Hoffend, Jr., Donald A.	Atty. Docket:	85809.000032
Serial No.:	10/813,424	Examiner:	
Filed:	March 29, 2004	Art Unit:	3652
Title:	SAFETY SENSOR FOR A LIFT ASSEMBLY		

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (b)

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant hereby submits an Information Disclosure Statement as set forth on the enclosed form, PTO/SB/08a.

The present application is a division of 10/690,132 filed October 21, 2003, which is a division of 10/273,285 filed October 17, 2002, now US Patent 6,691,986 issued February 17, 2004, which is a division of 09/627,537 filed July 28, 2000, now US Patent 6,634,622 issued October 21, 2003.

Copies of the foreign publications are not included, as the earlier applications are relied on for an earlier effective filing date under 35 U.S.C. 120, and the Information Disclosure Statement filed with the earlier applications complies with 37 CFR 1.98 [37 C.F.R. § 1.98(d)].

As this Statement is filed before the mailing of the first Office Action, Applicant believes there are no fees due at this time.

Respectfully submitted,

  
\_\_\_\_\_  
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1600 Bausch & Lomb Place  
Rochester, New York 14604  
Telephone: 585-232-6500  
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Dated: 25 Jun 2009

JUN 28 2004

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/813,424
				Filing Date	March 29, 2004
				First Named Inventor	Hoffend, Jr., Donald A.
				Art Unit	3654
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	85809.000032

## U.S. PATENT DOCUMENTS

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	1	US-977,499		12-06-1910	Bailey	
	2	US-977,500		12-06-1910	Bailey	
	3	US-1,263,628		04-23-1918	Vallen	
	4	US-1,340,066		05-11-1920	Lemle	
	5	US-1,473,336		11-06-1923	Connelly	
	6	US-1,821,563		09-01-1931	Mitchell et al.	
	7	US-2,357,462		09-05-1944	Ferguson	
	8	US-2,649,279		08-18-1953	Jones et al.	
	9	US-4,046,235		09-06-1977	Shutt	
	10	US-4,062,519		12-13-1977	Jacobs	
	11	US-4,156,521		05-29-1979	Harman	
	12	US-4,251,059		02-17-1981	Fougea	
	13	US-4,324,386		04-13-1982	Gagnon et al.	
	14	US-4,606,527		08-19-1986	Ziller et al.	
	15	US-4,662,628		05-05-1987	Chatenay pouse Compagnone	
	16	US-5,031,574		07-16-1991	McDowell	
	17	US-5,141,085		08-25-1992	McCormick	
	18	US-5,361,565		11-08-1994	Bayer	
	19	US-5,711,713		01-27-1998	Krueger	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	1	DD	255 522	A1	04-06-1988	VEB SACHSISCHER BRUKEN		
	2	DE	37 37 612	A1	06-01-1989	REXROTH MANNESMANN GMBH (DE)		
	3	DE	42 04 153		08-1993	LICENTIA GMBH (DE)		
	4	EP	0 540 136	A1	05-05-1993	ELEPHANT CHAIN BLOCK CO (JP)		
	5	EP	0 778 239	A1	06-11-1997	OELSCH FERNSTEUERGERAE TE (DE)		
	6	FR	2 689 415		10-08-1993	SCENAB AB		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	10/813,424
				Filing Date	March 29, 2004
				First Named Inventor	Hoffend, Jr., Donald A.
				Art Unit	3654
				Examiner Name	
Sheet	2	of	2	Attorney Docket Number	85809.000032

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
	20	US-6,691,986	B1	02-17-2004	Hoffend, Jr.	
	21	US-6,634,622		10-21-2003	Hoffend, Jr.	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds of Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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